

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
1527A2

Total Pages in this Submission  
58

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

### PROCESS FOR PRODUCING CHEMICALLY TREATED FILLERS

and invented by:

Timothy A. Okel and James R. Hahn

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

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Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 52 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☐ Brief Description of the Drawings (if drawings filed)
  - h. ☐ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**(Large Entity)**

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**Application Elements (Continued)**

3. ☐ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal                      Number of Sheets \_\_\_\_\_
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☐ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing
- ☐ First Class                      ☐ Express Mail *(Specify Label No.):* \_\_\_\_\_

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

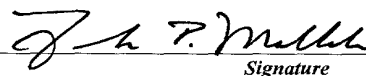
16. ☐ Additional Enclosures (please identify below):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	18	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$690.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 16-2025 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$690.00 as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

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Dated: August 10, 2000

cc:

# PROCESS FOR PRODUCING CHEMICALLY TREATED FILLERS

CROSS REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S.

5 provisional applications Serial No. 60/203,428, filed May 10, 2000, Serial No. 60/172,309, filed December 17, 1999 and Serial No. 60/149,757, filed August 19, 1999.

## DESCRIPTION OF THE INVENTION

10           The present invention relates to methods for making  
chemically treated fillers. More particularly, this invention  
relates to processes for producing particulate or amorphous  
fillers having minimum carbon and mercapto contents, a minimum  
Silane Conversion Index, and a minimum Standard Reinforcement  
15   Index. Most particularly, this invention relates to a process  
for producing a hydrophobized and functionalized filler,  
hereinafter referred to as a "modified filler", that improves  
the efficiency of producing polymeric compositions, such as in  
rubber compounding, and the performance of polymerized or  
20   cured products, e.g., tires.

In the production of polymeric compositions, it is common to incorporate reinforcing fillers to improve the physical properties of the polymer. The surfaces of such fillers are often modified to increase the reactivity and consequently the two and three dimensional coupling of the filler within the polymeric composition. It is conventional in the rubber industry to incorporate carbon black and other reinforcing fillers into natural and synthetic rubber to increase the physical properties of the cured rubber vulcanizate. Fillers used to reinforce such polymeric compositions include natural and synthetic fillers.

One of the principal non-black fillers used in the rubber industry is amorphous precipitated silica. This

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efficiency of silane coupling agents, particularly mercaptosilane coupling agents, by using an alkoxysilane in combination with the silane to form a coupling composition. In one specific embodiment described in the '847 patent, the  
5 silane coupling composition is formulated with the siliceous filler in a suitable non-reactive liquid that is chemically inert with respect to the coupling composition and siliceous filler to prepare a rubber compounding additive, i.e., a silica-silane concentrate.

10 U.S. patent 5,116,886 describes a two-step process in which the surface of natural or synthetic, oxide or -- silicate fillers is modified by using certain organosilicon compounds. In the first step, the organosilicon compound is mixed intensely with the filler at a temperature below 60°C.  
15 In the second step, the homogenous mixture is subjected to a temperature of from 60 to 160°C to complete the surface modification of the filler.

U.S. patent 5,908,660 also describes a two-step method for the preparation of hydrophobic silica. In the  
20 first step, an aqueous suspension of precipitated silica is contacted with an organosilicon compound in the presence of a catalytic amount of an acid to effect hydrophobing of the precipitated silica. In the second step, the aqueous suspension of the hydrophobic precipitated silica is contacted  
25 with a water-immiscible organic solvent at a solvent to silica weight ratio greater than 5:1 to effect separation of the hydrophobic precipitated silica from the aqueous phase.

It has now been discovered that an improved modified filler, e.g., a particulate or amorphous inorganic  
30 oxide, that is characterized by a carbon content of greater than 1 weight percent, a mercapto content of greater than 0.15 weight percent, a Silane Conversion Index (described hereinafter) of at least 0.3, and a Standard Reinforcement

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Index (also described hereinafter) of 4 or more can be prepared. The process described in U.S. Patent 5,908,660 may be improved and used to produce the modified filler of the present invention by utilizing a certain combination of  
5 functionalizing and hydrophobizing agents in an aqueous suspension of inorganic oxide having a pH of 2.5 or less and treating the acidic aqueous suspension of modified fillers with acid neutralizing agents to increase the pH of the suspension to a range of from 3.0 to 10.

10 As used herein, a functionalizing agent is a reactive chemical which can cause an inorganic oxide to be covalently bonded to the polymeric composition in which it is used. A hydrophobizing agent is a chemical which can bind to and/or be associated with an inorganic oxide to the extent  
15 that it causes a reduction in the affinity for water of the inorganic oxide while increasing the inorganic oxide's affinity for the organic polymeric composition in which it is used.

The aforementioned Standard Reinforcement Index  
20 (SRI) of at least 4 or greater indicates a modification of the interaction or bonding between the components of the filler-polymer composition. Specifically, there is a stronger interaction between the filler and polymer and/or the polymer and polymer than usually present for a given amount of  
25 interaction between filler and filler. Alternatively stated, there is a weaker interaction between the filler and filler than usually present for a given amount of interaction between filler and polymer and/or polymer and polymer. Appropriate modifications of these interactions in a rubber composition  
30 have been reported to result in better tire performance, e.g., improved treadwear life, lower rolling resistance, better traction on snow and lower noise generation. In addition to the improved properties, the modified filler has the benefit

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percent. The methods for determining the aforestated characteristics of the modified filler are described in Example 15.

The filler used to prepare the modified filler of the present invention is an inorganic oxide selected from precipitated silica, colloidal silica or mixtures thereof. In addition, the inorganic oxide is a material which is suitable for use in the various molding, compounding or coating processes including injection molding, lamination, transfer molding, compression molding, rubber compounding, coating (such as dipping, brushing, knife coating, roller coating, silk screen coating, printing, spray coating and the like), casting, and the like.

Preferably, the inorganic oxide used to produce the modified filler of the present invention is a precipitated silica of the type commonly employed for compounding with rubber. Various commercially available silicas that may be considered for use in this invention include silicas commercially available from PPG Industries under the Hi-Sil trademark with designations 210, 243, etc; silicas available from Rhone-Poulenc, with, for example, designations of Z1165MP and Z165GR and silicas available from Degussa AG with, for example, designations VN2 and VN3, etc.

The precipitated silica used to produce the modified filler of the present invention may be produced, for example, by acidic precipitation from solutions of silicates, e.g., sodium silicate. The method of preparing the precipitated silica is not limiting on the present invention and will depend upon the desired properties of the silica, such as surface area and particle size required for a given application.

The BET surface area of the precipitated silica used in preparing the modified silica of the present invention

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will generally be within a range of from 50 m<sup>2</sup>/g to 1000 m<sup>2</sup>/g, and will preferably be within a range of from 100 m<sup>2</sup>/g to 500 m<sup>2</sup>/g.

The precipitated silica used to form the modified silica may be in the form of an aqueous suspension from production stages that precede the drying step, such as a slurry formed during precipitation or as a reliquefied filter cake. The suspension can also be formed by re-dispersing dried silica into an aqueous and/or organic solvent. The concentration of hydrophilic precipitated silica in the aqueous and/or organic suspension is not critical and can be within a range of about 1 to 90 weight percent. Preferably, the concentration of hydrophilic precipitated silica is within a range of from 1 to 50 weight percent, and more preferably within a range of from 1 to 20 weight percent.

The Silane Conversion Index is defined by the equation  $T^3/(T^1 + T^2 + T^3)$ . The values for  $T^1$ ,  $T^2$  and  $T^3$  are determined by solid state <sup>29</sup>Si NMR and represent reacted silane units. The Silane Conversion Index provides an indication of the degree of reaction or crosslinking of the silanes on adjacent Si atoms and with each other. The higher the index number, the greater the amount of crosslinking amongst the silane, silica surface and adjacent silanes.  $T^1$  represents a silane unit chemically bonded at one site to either the silica surface or another silane.  $T^2$  represents a silane unit chemically bonded at two sites to either a Si atom on the silica surface and to one adjacent silane, two adjacent silanes or to two adjacent surface Si atoms, i.e., partially crosslinking structures.  $T^3$  represents a silane unit chemically bonded at three sites to either a Si atom on the silica surface and two adjacent silanes, two Si atoms and one silane or three silane units.

It is believed that an Organometallic Reactant Conversion Index, comparable to the Silane Conversion Index, can be developed and used by those skilled in the coupling agent art to provide an indication of the degree of reaction or crosslinking of zirconates and/or titanates (alone or in combination with silanes) with the inorganic oxide and themselves.

The Standard Reinforcement Index is determined using a Standard Compounding Protocol. The Standard Compounding Protocol described herein does not include the addition of free or unbounded coupling agents to the rubber batch. This is an important distinction since others have recently reported Reinforcement Indexes, i.e., 300 percent modulus/100 percent modulus ratios, greater than 4.0. See U.S. Patents 5,846,311 and 5,876,494. In both patents during rubber compounding, Silane X 50-S, a silica/rubber coupling agent, was added. Typically, the addition of such coupling agents to a rubber batch requires more time for mixing by the compounder.

The organic polymeric compositions, e.g., plastics and/or resin, in which the modified filler can be added include essentially any organic plastic and/or resin. Included in this definition are rubber compounds. Such polymers are described in *Kirk Othmer Encyclopedia of Chemical Technology*, Fourth Edition, 1996, Volume 19, pp 881-904, which description is herein incorporated by reference. The modified filler may be admixed with the polymer or the polymerizable components thereof while the physical form of the polymer or polymerizable components is in any liquid or compoundable form such as a solution, suspension, latex, dispersion, and the like. The polymeric compositions containing the modified filler may be milled, mixed, molded and cured, by any manner known to the art, to form a polymeric article having dispensed

therein 10 to 150 parts per 100 parts polymer of modified filler. Suitable polymers include, by way of example, thermoplastic and thermosetting resins, rubber compounds and other polymers having elastomeric properties.

- 5           The polymers may be alkyd resins, oil modified alkyd resins, unsaturated polyesters, natural oils, (e.g., linseed, tung, soybean), epoxides, nylons, thermoplastic polyester (e.g., polyethyleneterephthalate, polybutyleneterephthalate), polycarbonates, i.e.,
- 10 thermoplastic and thermoset, polyethylenes, polybutylenes, polystyrenes, polypropylenes, ethylene propylene co- and- terpolymers, acrylics (homopolymer and copolymers of acrylic acid, acrylates, methacrylates, acrylamides, their salts, hydrohalides, etc.), phenolic resins, polyoxymethylene
- 15 (homopolymers and copolymers), polyurethanes, polysulfones, polysulfide rubbers, nitrocelluloses, vinyl butyrates, vinyls (vinyl chloride and/or vinyl acetate containing polymers), ethyl cellulose, the cellulose acetates and butyrates, viscose rayon, shellac, waxes, ethylene copolymers (e.g., ethylene-
- 20 vinyl acetate copolymers, ethylene-acrylic acid copolymers, ethyleneacrylate copolymers), organic rubbers and the like.

- The amount of modified filler that may be used in polymeric composition may range from 5 up to 70 weight percent, based on the total weight of the plastic composition.
- 25 For example, the typical amount of modified filler used in ABS (acrylonitrile-butadiene-styrene) copolymer is from 30 to 60 weight percent, acrylonitrile-styrene-acrylate copolymer is 5 to 20 weight percent, aliphatic polyketones is 15 to 30 weight percent, alkyds resins (for paints and inks) is 30 to 60
- 30 weight percent, thermoplastic olefins is 10 to 30 weight percent, epoxy resins is from 5 to 20 weight percent, ethylene vinylacetate copolymer is up to 60 weight percent, ethylene ethyl acetate copolymer is up to 80 weight percent, liquid

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crystalline polymers (LCP) is 30 to 70 weight percent, phenolic resins is 30-60 weight percent and in polyethylene the amount is usually greater than 40 weight percent.

In particular, organic rubbers are preferred.

- 5 Examples of such rubbers include natural rubber; those formed from the homopolymerization of butadiene and its homologues and derivatives such as: cis-1,4-polyisoprene; 3,4-polyisoprene; cis-1,4-polybutadiene; trans-1,4-polybutadiene; 1,2-polybutadiene; and those formed from the copolymerization  
10 of butadiene and its homologues and derivatives with one or more copolymerizable monomers containing ethylenic unsaturation such as styrene and its derivatives, vinylpyridine and its derivatives, acrylonitrile, isobutylene and alkyl-substituted acrylates such as methymethacrylate.
- 15 Examples include styrene-butadiene copolymer rubber composed of various percentages of styrene and butadiene and employing the various isomers of butadiene as desired (hereinafter "SBR"); terpolymers of styrene, isoprene and butadiene polymers, and their various isomers; acrylonitrile-based  
20 copolymer and terpolymer rubber compositions; and isobutylene-based rubber compositions; or a mixture thereof, as described in, for example, United States Patents No. 4,530,959; 4,616,065; 4,748,199; 4,866,131; 4,894,420; 4,925,894; 5,082,901; and 5,162,409.

- 25 Other suitable organic polymers are copolymers of ethylene with other high alpha olefins such as propylene, butene-1 and pentene-1 and a diene monomer. The organic polymers may be block, random, or sequential and may be prepared by emulsion (e.g. e-SBR) or solution polymerization  
30 processes (e.g. s-SBR). Additional polymers which may be used include those which are partially or fully functionalized including coupled or star-branched polymers. Additional specific examples of functionalized organic rubbers include

5 Preferably, the polymeric composition is a curable rubber. The term "curable rubber" is intended to include both natural rubber and its various raw and reclaim forms as well as various synthetic rubbers. For example, curable rubber could include combinations of SBR and butadiene rubber (BR),  
10 SBR, BR and natural rubber and any other combinations of materials previously disclosed as organic rubbers. In the description of this invention, the terms "rubber", "elastomer" and "rubbery elastomer" may be used interchangeably, unless indicated otherwise. The terms "rubber composition",  
15 "compounded rubber" and "rubber compound" are used interchangeably to refer to rubber which has been blended or mixed with various ingredients and materials and such terms are well known to those having skill in the rubber mixing or rubber compounding art.

20 The modified filler of the present invention may be prepared by using step A alone or both steps A and B for preparing hydrophobic silica and fumed silica disclosed in U.S. Patent 5,908,660 and 5,919,298, respectively, which disclosures are incorporated herein by reference, with the  
25 following changes. The amount of acid used results in a pH of 2.5 or less in the aqueous suspension, preferably, a pH of 2.0 or less, and more preferably, a pH of 1.0 or less and most preferably a pH of 0.5 or less; the modifying chemical used is a combination of mercaptoorganometallic reactant and a non-sulfur containing organometallic compound, which is referred to hereinafter as non-sulfur organometallic compound, in a weight ratio of the mercaptoorganometallic reactant to the  
30 non-sulfur organometallic compound of at least 0.05:1,

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organometallic compound(s), the acid and, if used, a co-solvent. Preferably, step (A) is conducted at temperatures in the range of from 30°C to 150°C, although Step (A) can be conducted at the reflux temperature of the slurry used in step  
5 (A) when this is desired.

In the aforescribed reaction, the modifying chemical or coupling agent may be a combination of functionalizing agent(s) in place of mercaptoorganometallic compound and hydrophobizing agent(s) in place of a non-sulfur  
10 organometallic compound. The combination of functionalizing and hydrophobizing agents may be used in the same weight-ratios specified for the combination of mercaptoorganometallic compound to the non-sulfur organometallic compound. Examples of reactive groups that the functionalizing agent may contain  
15 include, but are not limited to vinyl, epoxy, glycidoxy and (meth)acryloxy. Sulfide, polysulfide and mercapto groups may also be the reactive groups of the functionalizing agent provided they are not associated with the reactants represented by chemical formulae I and VII, included herein.  
20 As the hydrophobizing agents, materials include but are not limited to chemicals such as natural or synthetic fats and oils and the non-sulfur organometallic compounds represented by chemical formulae II, III, IV, V and mixtures of such hydrophobizing agents.

25 The initial step of contacting the acidic aqueous suspension of inorganic oxide with a combination of mercaptoorganometallic compound and non-sulfur organometallic compound, preferably a non-sulfur organosilicon compound, may further include adding a water miscible solvent in amounts  
30 sufficient to facilitate their reaction with the inorganic oxide. The solvent acts as a phase transfer agent speeding up the interaction of the combination of hydrophobic sulfur and non-sulfur organometallic compounds with the hydrophilic



inorganic oxide. When used, the amount of the water-miscible organic solvent will typically comprise at least 5 weight percent of the aqueous suspension, more preferably from 15 to 50 weight percent and most preferably from 20 to 30 weight percent of the aqueous suspension or the weight percent may range between any combination of these values, inclusive of the recited values. Suitable water-miscible solvents include, for example, alcohols such as ethanol, isopropanol and tetrahydrofuran. Preferably, isopropanol is used as the water-miscible organic solvent.

A surfactant may also be used in the initial step, either in combination with the water-miscible organic solvent or in place of the water-miscible organic solvent, in an amount sufficient to facilitate the chemical modification of the inorganic oxide by the mercaptoorganometallic compound and the non-sulfur compound. The surfactant may be nonionic, anionic, cationic, amphoteric or a mixture of such surfactants provided that it does not have an adverse effect on the performance of the resulting chemically modified inorganic oxide for its intended use. Typically, when used, the surfactant is employed at a level of from 0.05 to 10 weight percent of the aqueous suspension, more preferably, from 0.1 to 5 weight percent, and most preferably from 0.1 to 3 weight percent or the weight percent may range between any combination of these values, inclusive of the recited values.

Representative examples of suitable surfactants include alkylphenolpolyglycol ethers, e.g., p-octylphenolpolyethyleneglycol (20 units) ether, p-nonylphenolpolyethyleneglycol (20 units) ether, alkylpolyethyleneglycol ethers, e.g., dodecylpolyethyleneglycol (20 units) ether, polyglycols, e.g., polyethyleneglycol 2000, alkyltrimethylammonium salts, e.g., cetyltrimethylammonium chloride (or bromide),

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ester, thiosulfate ester, thiophosphate ester, thiophosphonate ester, thiophosphinate ester, etc.

When reaction of the mixture to couple the filler to the polymer is desired, a deblocking agent is added to the mixture to deblock the blocked mercaptoorganometallic compound. If water and/or alcohol are present in the mixture, a catalyst, e.g., tertiary amines, Lewis acids or thiols, may be used to initiate and promote the loss of the blocking group by hydrolysis or alcoholysis to liberate the corresponding mercaptoorganometallic compounds. Procedures for preparing and using such compounds, e.g., blocked mercaptosilanes, are disclosed in PCT application WO 99/09036. Other procedures for preparing blocked mercaptosilanes are disclosed in U.S. Patents 3,692,812 and 3,922,436, which patents are incorporated herein by reference.

Examples of useful mercaptoorganometallic compound(s) include but are not limited to mercaptomethyltrimethoxysilane, mercaptoethyltrimethoxysilane, mercaptopropyltrimethoxysilane, mercaptomethyltriethoxysilane, mercaptoethyltripropoxysilane, mercaptopropyltriethoxysilane, (mercaptomethyl)dimethylethoxysilane, (mercaptomethyl)methyldiethoxysilane, 3-mercaptopropylmethyldimethoxysilane and mixtures thereof. The most preferred compounds are mercaptopropyltrimethoxysilane, mercaptopropyltriethoxysilane or mixtures thereof.

Examples of useful blocked mercaptosilanes include but are not limited to 2-triethoxysilyl-1-ethyl thioacetate, 3-trimethoxy-silyl-1-propyl thiooctoate, bis-(3-triethoxysilyl-1-propyl)-methyldithiophosphonate, 3-triethoxysilyl-1-propyldimethylthiophosphinate, 3-triethoxysilyl-1-propylmethylthiosulfate, 3-triethoxysilyl-1-propyltoluenethiosulfonate and mixtures thereof.

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In formulae III, IV and V each  $R^2$ ,  $R^3$ , and  $R^4$  can be the same as the hydrocarbon groups described for  $R^1$ . For purposes of the present invention, when the organometallic reactant is an organosilicon reactant, the silicon is  
5 considered to be a metal.

Preferably, the non-sulfur organometallic compound(s) is represented by formulae II, III, IV, V or a mixture of said organometallic compounds wherein each M is silicon. More preferably, the non-sulfur organometallic is  
10 represented by formula II wherein  $R^1$  is  $C_1-C_6$  alkyl, X is chloro and a is 2.

Examples of useful organosilicon compounds include, but are not limited, to compounds and mixtures of compounds selected from the group consisting of diethyldichlorosilane,  
15 allylmethyldichlorosilane, methylphenyldichlorosilane, phenylethyldiethoxysilane, 3,3,3-trifluoropropylmethyldichlorosilane, trimethylbutoxysilane, sym-diphenyltetramethyldisiloxane, trivinyltrimethylcyclotrisiloxane, octamethylcyclotetrasiloxane,  
20 hexaethyldisiloxane, pentylmethyldichlorosilane, divinyl dipropoxysilane, vinyl dimethylchlorosilane, vinylmethyldichlorosilane, vinyl dimethylmethoxysilane, trimethylchlorosilane, trimethylmethoxysilane, trimethylethoxysilane, methyltrichlorosilane,  
25 methyltrimethoxysilane, methyltriethoxysilane, hexamethyldisiloxane, hexenylmethyldichlorosilane, hexenyldimethylchlorosilane, dimethylchlorosilane, dimethyldichlorosilane, dimethyldimethoxysilane, dimethyldiethoxysilane, hexamethyldisilazane,  
30 trivinyltrimethylcyclotrisilazane, polydimethylsiloxanes comprising 3 to about 20 dimethylsiloxo units and trimethylsiloxo or hydroxydimethylsiloxo end blocked

poly(dimethylsiloxane) polymers having an apparent viscosity within the range of from 1 to 1000 in mPa·s at 25°C.

Examples of organotitanium compounds that may be used include, but are not limited to, tetra(C<sub>1</sub>-C<sub>18</sub>)alkoxy titanates, methyl triethoxy titanium (iv), methyl titanium (iv) triisopropoxide, methyl titanium (iv) tributoxide, methyl titanium (iv) tri-t-butoxide, isopropyl titanium (iv) tributoxide, butyl titanium (iv) triethoxide, butyl titanium (iv) tributoxide, phenyl titanium (iv) triisopropoxide, phenyl titanium (iv) tributoxide, phenyl titanium (iv) triisobutoxide, [Ti(CH<sub>2</sub>Ph)<sub>3</sub>(NC<sub>5</sub>H<sub>10</sub>)] and [Ti(CH<sub>2</sub>SiMe<sub>3</sub>)<sub>2</sub>(NEt<sub>2</sub>)<sub>2</sub>]

Examples of organozirconium compounds that may be used include, but are not limited to, tetra(C<sub>1</sub>-C<sub>18</sub>)alkoxy zirconates, phenyl zirconium (iv) trichloride, methyl zirconium (iv) trichloride, ethyl zirconium (iv) trichloride, propyl zirconium (iv) trichloride, methyl zirconium (iv) tribromide, ethyl zirconium (iv) tribromide, propyl zirconium (iv) tribromide, chlorotripentyl zirconium (iv). Zirconium compounds similar to those described above for the organotitanium compounds and vice-versa are also contemplated.

The amount of mercaptoorganometallic compound and non-sulfur organometallic compound used in the aforescribed chemical modification process is that amount which is sufficient to produce a modified filler characterized by a carbon content of greater than 1 weight percent, a mercapto content of greater than 0.15 weight percent, a Silane Conversion Index of at least 0.3 and a Standard Reinforcement Index of at least 4.0. Such an amount is referred to herein as a coupling amount, i.e., an amount sufficient to bind to the filler and enable the now modified filler to bind to the polymeric composition.

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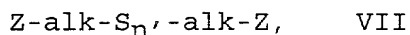
The weight ratio of mercaptoorganosilane to non-sulfur organometallic compound will vary from at least 0.05:1, preferably, from 0.05:1 to 10:1, more preferably, from 0.1:1 to 5:1, and most preferably, from 0.2:1 to 2:1, e.g., from 0.5:1 to 1:1 or the weight ratio may range between any combination of these values, inclusive of the recited ranges. The individual organometallic reactants may be added together or sequentially in any order. It is preferred that the organometallic reactants be added in an amount that provides an excess of organometallic units in relation to the hydroxyl groups available on the inorganic oxide particles for reaction. The upper limit of the amount of organometallic reactants added to the process is not critical. Excess mercaptoorganometallic compounds and non-sulfur organometallic compound can be removed by filtration, distillation, washing with a solvent, or other known separation techniques.

In another embodiment, the mercaptoorganometallic reactant may be replaced by a combination of a mercaptoorganometallic and a different sulfur-containing organometallic compound in a weight ratio of mercaptoorganometallic compound to sulfur-containing organometallic compound of from at least greater than 1:1, e.g., 1.01:1. The ratio may range from 1.01:1 to 100:1, preferably from 5:1 to 50:1 and more preferably from 10:1 to 30:1 or the weight ratio may range between any combination of these values, inclusive of the recited values. Any sulfur-containing organometallic compound (other than the mercaptoorganometallic compound represented by formula I), that functions as a coupling agent in the vulcanization of a filler containing rubber, is useful.

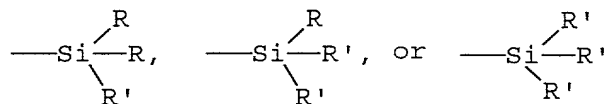
Examples of useful sulfur-containing organometallic compounds include bis(alkoxysilylalkyl)-polysulfides described in U.S. Patent 3,873,489 and 5,580,919, which disclosures are



incorporated herein by reference, and are represented by the following formula VII:



in which alk is a divalent hydrocarbon radical having from 1 to 18, preferably 1 to 6, and more preferably, 2 to 3, carbon atoms;  $n'$  is a whole number of 2 to 12, preferably 2 to 6 and more preferably 3 to 4; and Z is:



wherein R is an alkyl group having from 1 to 4 carbon atoms or phenyl, and R' is an alkoxy group having from 1 to 8,

preferably 1 to 4, more preferably 1 to 2, carbon atoms, a cycloalkoxy group with from 5 to 8 carbon atoms, or a straight or branched chain alkylmercapto group with from 1 to 8 carbon atoms. The R and R' groups can be the same or different. The divalent alk group can be straight or branched chain, a saturated or unsaturated aliphatic hydrocarbon group or a cyclic hydrocarbon group. The high purity organosilane disulfides disclosed in U.S. Patent 5,580,919 require that 80 percent of  $n'$  in formula I is 2.

Exemplification of the bis(alkoxysilylalkyl)- polysulfides include: the bis(2-trialkoxysilylethyl)- polysulfide in which the trialkoxy group is trimethoxy, triethoxy, tri(methylethoxy), tripropoxy, tributoxy, etc. up to trioctyloxy and the polysulfide is the di-, tri-, tetra-, penta-, and hexasulfide. The corresponding bis(3-trialkoxysilylpropyl)-, bis(3-trialkoxysilylisobutyl)-, bis(4-trialkoxysilylbutyl)-, etc. up to bis(5-trialkoxysilyl-

hexyl)polysulfide can also be used. Preferred are the relatively simply constructed organosilanes including the bis(3-trimethoxy-, -triethoxy-, and -tripropoxysilylpropyl)polysulfide; namely, the di-, tri- and tetrasulfides.

5                Specific examples of such bis(alkoxysilylalkyl)-polysulfides are described in column 6, lines 5-55 of the aforesaid U.S. Pat. No. 3,873,489 and in column 11, lines 11-41 of U.S. Patent No, 5,580,919. Representative examples of such compounds are:

10    3,3'-bis(trimethoxysilylpropyl)disulfide,  
      3,3'-bis(triethoxysilylpropyl)tetrasulfide,  
      3,3'-bis(trimethoxysilylpropyl)tetrasulfide,  
      2,2'-bis(triethoxysilylethyl)tetrasulfide,  
      3,3'-bis(trimethoxysilylpropyl)trisulfide,  
15    3,3'-bis(triethoxysilylpropyl)trisulfide,  
      3,3'-bis(tributoxysilylpropyl)disulfide,  
      3,3'-bis(trimethoxysilylpropyl)hexasulfide, and  
      3,3'-bis(trioctoxysilylpropyl)tetrasulfide and mixtures thereof. The most preferred compound is  
20    3,3'-bis(triethoxysilylpropyl)tetrasulfide (TESPT).

      TESPT is available under the trade name Si-69 from Degussa Corp. It is reported to be a mixture of  
      3,3'-bis(triethoxysilylpropyl)monosulfide,  
      3,3'-bis(triethoxysilylpropyl)disulfide,  
25    3,3'-bis(triethoxysilylpropyl)trisulfide,  
      3,3'-bis(triethoxysilylpropyl)tetrasulfide and higher sulfide homologues having an average sulfide of 3.5.

      After the chemical modifying process is completed, the pH of the aqueous suspension of modified inorganic oxide  
30    is increased from the treatment pH of 2.5 or less to a pH from 3.0 to 10.0. Typically, the pH of the resulting aqueous suspension is increased to 3 or higher, preferably, 4 or higher, more preferably, 5 or higher and most preferably, 6 or

higher and usually 10 or less, preferably 9 or less, more preferably 8 or less and most preferably 7 or less. The pH of the aqueous suspension may range between any combination of these levels, including the recited levels. This is done to  
5 neutralize the added or generated acidity and produce a final product (after drying) having a pH of from 5.0 to 10.0.

The modified inorganic oxide is recovered by filtering and drying or by contacting the aqueous suspension of modified inorganic oxide with a water immiscible organic  
10 solvent at a solvent to inorganic oxide weight ratio greater than 1 to 1, preferably greater than 5 to 1. The modified inorganic oxide recovered in the solvent phase may be used without further treatment or dried. One contemplated embodiment of the present invention is a composition  
15 comprising a slurry of the modified filler in a water-immiscible solvent. The concentration of the modified filler in the slurry may range from 1 to 90 weight percent based on the total weight of the slurry.

Examples of useful water-immiscible organic  
20 solvents include low molecular weight siloxanes, such as hexamethyldisiloxane, octamethylcyclotetrasiloxane, diphenyltetramethyldisiloxane and trimethylsiloxy endblocked polydimethylsiloxane fluids. When a siloxane is employed as a solvent, it may serve both as a solvent and as a reactant with  
25 the inorganic oxide. In addition, useful water-immiscible organic solvents include aromatic hydrocarbons, such as toluene and xylene; heptane and other aliphatic hydrocarbon solvents; cycloalkanes, such as cyclohexane; ethers, such as diethylether and dibutylether; halohydrocarbon solvents, such  
30 as methylene chloride, chloroform, ethylene chloride, and chlorobenzene; and ketones, such as methylisobutylketone.

The water-immiscible organic solvent which is used to contact the aqueous suspension of hydrophobic particulate

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inorganic oxide may or may not contain one or more materials dissolved therein, as is desired. Examples of such materials include, but are not limited to, one or more rubbers, oil, coupling agent, antioxidant, and accelerator.

5           The modified filler of the present invention (as a powder, granule, pellet, slurry, aqueous suspension or solvent suspension) may be combined with base material, i.e., material used in the product to be manufactured, to form a mixture referred to as a master batch. In the master batch, the  
10 modified filler may be present in a higher concentration than in the final product. Aliquots of this mixture are typically added to production-size quantities during mixing operations in order to aid in uniformly dispersing very small amounts of such additives to polymeric compositions, e.g., plastics,  
15 rubbers and coating compositions.

          The modified filler may be combined with emulsion and/or solution polymers, e.g., organic rubber comprising solution styrene/butadiene (SBR), polybutadiene rubber or a mixture thereof, to form a master batch. One contemplated  
20 embodiment is a master batch comprising a combination of organic rubber, water-immiscible solvent, modified filler and optionally, processing oil. Such a product may be supplied by a rubber producer to a tire manufacturer. The benefit to the tire manufacturer of using a master batch is that the modified  
25 filler is uniformly dispersed in the rubber, which results in minimizing the mixing time to produce the compounded rubber. The masterbatch may contain from 10 to 150 parts of modified silica per 100 parts of rubber (phr), preferably, from 20 to 130 phr, more preferably, from 30 to 100 phr, and most  
30 preferably, from 50 to 80 phr.

          In a further embodiment of the present invention, there is contemplated a polymeric article having dispensed therein from 10 to 150 parts of modified filler per 100 parts

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of polymer, preferably from 20 to 130, more preferably, from 30 to 100, and most preferably from 50 to 80 parts of modified filler per 100 parts of polymer. Alternatively, the amount of modified filler may range between any combination of these values, inclusive of the recited ranges. As described herein, the polymer may be selected from the group consisting of thermoplastic resins, thermosetting resins and organic rubber. Preferably, the polymer is a curable organic rubber.

Curable rubbers principally contemplated for use in combination with the modified filler of the present invention are well known to the skilled artisan in rubber chemistry and include vulcanizable and sulfur-curable rubbers. Particularly contemplated are those which are typically used for mechanical rubber goods.

The modified filler of the present invention can be mixed with an uncured rubbery elastomer used to prepare the vulcanizable rubber composition by conventional means such as in a Banbury mixer or on a rubber mill at temperatures between about 100°F. and 300°F. (38°C.-150°C.). A vulcanizable rubber composition may contain, based on 100 parts of vulcanizable rubber polymer, from 10 to 150 parts of modified filler, preferably, from 20 to 130 phr, more preferably, from 30 to 100 phr, and most preferably, from 50 to 80 phr. Other conventional rubber additives present are the conventional sulfur or peroxide cure systems.

The sulfur-cure system can include 0.5 to 3 parts sulfur, 2 to 5 parts zinc oxide and 0.5 to 2 parts accelerator. The peroxide-cure system can include 1 to 4 parts of a peroxide such as dicumyl peroxide. Other conventional rubber additives can also be used. Such additives include other fillers, such as carbon black, oils, plasticizers, accelerators, antioxidants, heat stabilizers, light stabilizers, zone stabilizers, organic acids such as for

example stearic acid, benzoic acid, or salicylic acid, other  
activators, extenders and coloring pigments. The particular  
compounding recipe will vary with the particular vulcanizate  
prepared; but, such recipes are well-known to those skilled in  
5 the rubber compounding art.

The vulcanizable rubber composition is vulcanized  
or cured to a rubber vulcanizate in accordance with customary  
procedures known in the rubber industry. Exemplification of  
industrial rubber vulcanizates (articles) which can be  
10 produced utilizing the modified filler of the present  
invention include wire and cable jacketing, hoses, gaskets and  
seals, industrial and automotive drive-belts, engine mounts,  
V-belts, conveyor belts, roller coatings, tires and components  
of tires, such as vehicle tire treads, subreads, tire  
15 carcasses, tire sidewalls, tire belt wedge, tire bead filler,  
and tire wire skim coat, shoe sole materials, packing rings,  
damping elements and many others.

The present invention is more particularly  
described in the following discussion of the Standard Compound  
20 Protocol, Examples and Comparative Examples which are intended  
as illustrative only since numerous modifications and  
variations therein will be apparent to those skilled in the  
art.

#### 25 Standard Compounding Protocol

The Standard Compounding Protocol was used to  
prepare test samples of formulated rubber compositions  
containing the silica of the Examples and Comparative Examples  
(CE).

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#### Part A

The following ingredients in amounts of parts per  
hundred parts of rubber by weight (phr) were added in the

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order described to a polyethylene bag held erect in a 500-milliliter (mL) plastic cup:

<u>Material</u>	<u>Amount (phr)</u>
Processing oil <sup>(1)</sup>	30.0
Zinc oxide <sup>(2)</sup>	2.5
Antiozonant <sup>(3)</sup>	2.0
Stearic acid <sup>(4)</sup>	1.0
Silica Sample	12.5

- 5 (1) Sundex® 8125 aromatic hydrocarbon processing oil, --  
obtained commercially from Sun Company, Inc., Refining  
and Marketing Division.
- (2) Kadox® surface treated zinc oxide, obtained commercially  
from Zinc Corporation of America.
- 10 (3) Wingstay® 100 antiozonant, a mixture of diaryl p-  
phenylenediamines, obtained commercially from The  
Goodyear Tire & Rubber Co.
- (4) Rubber grade stearic acid, obtained commercially from C.  
P. Hall.
- 15

Part B

A 1.89 liter (L) Farrel Banbury mixer (Model "BR") was used for mixing the various ingredients. Immediately prior to adding the batch ingredients to the mixer, 800 grams (g) of CV-60 grade natural rubber was put through the mixer to clean it of any residue of previous runs and increase the temperature to about 93°C. (200°F.). After removing the rubber, the mixer was cooled to about 65°C. (150°F.) before adding the ingredients to produce the rubber test sample.

A rubber composition is prepared using the test silica, the following other enumerated ingredients and the procedure described hereinafter.

<u>Ingredient</u>	<u>Amount (phr)</u>	<u>Time at which material was added to or ((removed from)) mixer in minutes</u>	<u>Rotor speed (rpm)</u>
<u>First Pass</u>			
SBR Rubber <sup>(5)</sup>	70.0	0	116
BR Rubber <sup>(6)</sup>	30.0	0	116
Test Silica	57.5	0.5	116
Sample from	All	3.0	116
Part A			
Dump contents		((5.0))	
<u>Second Pass</u>			
Product of	All	0	77
First Pass			
Antiozonant <sup>(7)</sup>	2.0	0	77
Petroleum	1.5	0	77
Wax <sup>(8)</sup>			
RM Sulfur <sup>(9)</sup>	1.4	0.5	77
TBBS <sup>(10)</sup>	1.7	0.5	77
DPG <sup>(11)</sup>	2.0	0.5	77
Dump contents		((4.0))	

(5) Solflex® 1216 solution styrene-butadiene rubber (SBR) obtained commercially from The Goodyear Tire & Rubber Co.

5 (6) Budene 1207 butadiene rubber (BR) obtained commercially from The Goodyear Tire & Rubber Co.

(7) Santoflex® 13 antiozonant, described as N-(1,3-dimethylbutyl)-N'-phenyl-p-phenylenediamine, obtained commercially from Flexsys.

10 (8) Okerin® 7240 microcrystalline wax/paraffin wax blend obtained commercially from Astor Corporation.

(9) Rubber Makers (RM) sulfur, 100 % active, obtained commercially from Taber, Inc.

(10) N-tert-butyl-2-benzothiazolesulfenamide, obtained commercially from Monsanto.

15 (11) Diphenylguanidine, obtained commercially from Monsanto.



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Okerin® 7240 microcrystalline wax/paraffin wax blend. After 0.5 minutes, the second addition of the combination of RM Sulfur, TBBS and DPG was added. After a further 1.5 minutes, the ram was raised and the chute swept. The second pass was  
5 completed by mixing the stock an additional 2.0 minutes while maintaining the temperature at or below 125°C. (257°F.).

### Part C

A Farrel 12 inch two-roll rubber mill was heated to  
10 approximately 60°C. (140°F.). The stock from the second pass of Part B was fed into the running mill with a nip setting of 2.032 mm  $\pm$  0.127 mm (0.080 inch  $\pm$  0.005 inch). The resulting sheet was placed on a flat surface until the temperature of the sheet reached room temperature. Typically, the sheet  
15 cooled within about 30 minutes. Afterwards, the milled sheet was fed into the rubber mill with a nip setting of 3.81 mm  $\pm$  0.51 mm (0.15 inch  $\pm$  0.02 inch). The rolling bank was adjusted, if necessary, to maintain a uniform thickness. The resulting material was subjected to 16 side cuts and then 8  
20 end passes. The rubber mill nip was adjusted to produce a sheet thickness of 2.032 mm  $\pm$  0.127 mm (0.080 inch  $\pm$  0.005 inch). The sheet stock collected off the mill was placed on a flat clean surface. Using a stencil, a rectangular sample 203.2 mm x 152.4 mm (8 inches x 6 inches) was cut from the  
25 sheet stock. The sample was conditioned, i.e., stored between clean polyethylene sheets and maintained for 15 to 18 hours at a temperature of 23°  $\pm$  2° C, and a relative humidity of 50%  $\pm$  5%.

After conditioning, the sample was placed in a  
30 203.2 mm x 152.4 mm x 2.286 mm (8 inch x 6 inch x 0.09 inch) standard frame machine steel compression mold having a polished surface. The sample was cured in a 61 centimeter x

61 centimeter (24 inch x 24 inch) 890 kilonewton (100 ton)  
4-post electrically heated compression press, for T90, i.e.,  
the time it takes for 90 percent of the cure to occur, in  
accordance with ASTM D-2084, plus 5 minutes at 150°C. (302°F.)  
5 under a pressure of 13.79 megapascals (2000 pounds per square  
inch). Typically, curing was completed within about 10  
minutes. The resulting cured rubber sheet was removed from  
the mold and maintained for 15 to 18 hours at a temperature of  
23° ± 2°C. (73.4 ± 3.6°F.), and a relative humidity of 50% ± 5%  
10 prior to testing in Part D.

#### Part D

Testing was performed in accordance with ASTM D  
412-98a - Test Method A. Dumbbell test specimens were  
15 prepared using Die C. An Instron model 4204 with an automated  
contact extensiometer for measuring elongation was used. The  
cross-head speed was found to equal 508 mm/min. All  
calculations were done using the Series IX Automated Materials  
Testing software supplied by the manufacturer. The  
20 Reinforcement Index equals the Tensile Stress at 300%  
elongation (in MPa) divided by the Tensile Stress at 100%  
elongation (in MPa). When the samples were prepared using the  
Standard Compounding Protocol, the results were reported as  
the Standard Reinforcement Index.

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#### PREPARATION OF PRECIPITATED SILICA

A precipitated silica was produced by acidifying a  
sodium silicate solution with sulfuric acid. The majority of  
the precipitate was formed at a pH above 8.5. Further  
30 precipitate was produced by continuing the acid addition until  
the solution pH reached a level from 3.3 to 4.0.

A sample of the precipitated silica for surface  
area analysis, as described in Example 15, was prepared by

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temperature for about 30 minutes. While cooling, enough 50 wt.% NaOH was added to the mixture over an interval of time to adjust the pH to about 3.5. After completion of the NaOH addition, enough toluene (typically 6.75 to 7.75 kg) was added  
5 to the stirred mixture to effect separation of the hydrophobic precipitated silica from the aqueous phase without forming an emulsion. The aqueous phase was drained from the vessel.

The stirred mixture in the vessel containing the hydrophobic precipitated silica was then washed with about 30  
10 kg of water. Enough additional toluene (typically 6.5 to 8.0 kg) was added to the stirred mixture to effect separation of the hydrophobic precipitated silica from the aqueous phase without forming an emulsion. The aqueous phase was drained. The stirred mixture containing the hydrophobic precipitated  
15 silica was then washed two more times with about 30 kg of water per wash. The aqueous phase was drained from the vessel after each wash and before addition of the subsequent wash.

After washing was completed, enough toluene (typically from 12.5 to 15.3 kg) was added to the stirred  
20 mixture to make a flowable solid-in-liquid suspension that could be easily discharged from the vessel. The resulting suspension was dried in a rotocone drier under vacuum (minimum 23 inches of mercury) at a minimum of 140°C. Drying was continued until the samples showed a wt.% loss of less than 4  
25 % when exposed to 160°C for 10 minutes.

#### EXAMPLE 7

The procedure described for Examples 1-6 was followed except for the following: 80 g of 3-mercapto-  
30 propyltrimethoxysilane (MPTMS) was added over about 10 minutes; 487 g of dimethyldichlorosilane was added over about 10 minutes; isopropyl alcohol and toluene were not used and slurries of three individual batches were combined, filtered

5           The approximate weight percents of MPTMS and DMDCS  
per silica on a dry basis and the weight ratio of MPTMS /  
DMDCS for the modified silica sample of Example 7 are listed  
in Table 2.

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EXAMPLE 9

The procedure of Example 8 was followed except that 86.5 g of 3-mercaptopropyltrimethoxysilane (MPTMS) was used. The approximate weight percents of MPTMS and DMDCS per silica on a dry basis and the weight ratio of MPTMS / DMDCS for the modified silica sample of Example 9 are listed in Table 2.

EXAMPLES 10-13

Both silanes (DMDCS & MPTMS) and acid (sufficient to result in a pH of about 0.3) were commixed with a freshly prepared silica slurry having a temperature of about from 65 to 85°C. immediately prior to being added to a vessel. The acid used was concentrated, i.e., about 96 wt %, sulfuric acid in all of the examples except Example 13 which used concentrated hydrochloric acid. The resulting mixture was left quiescent for at least 15 minutes. Water was added and agitation applied and the pH was adjusted to about 3.5 with 50 wt.% aqueous sodium hydroxide. The resulting aqueous suspension of hydrophobic silica was filtered and washed with water until the rinse water demonstrated a conductivity level of from about 300 to 800 micromhos. The hydrophobic silica was dried until a sample showed less than 2.5 weight percent loss when exposed to 106°C for 10 minutes. The approximate amounts of the silanes added to the slurry are reported in Table 2 on a percentage weight based on dry silica along with the weight ratios of MPTMS / DMDCS.

EXAMPLE 14

The procedure of Examples 10-13 was followed except that no acid was added, only the acid generated by the hydrolysis of DMDCS was present. Enough (MPTMS) and enough dimethyldichlorosilane (DMDCS) were added to result in the

approximate weight percents of each per silica on a dry basis listed in Table 2. The pH of the resulting solution was 1.6.

#### COMPARATIVE EXAMPLES 1-3

5           The procedure described for Examples 1-6 was followed. The approximate amounts of the silanes added to the slurry are reported in Table 2 on a percentage weight based on dry silica along with the weight ratios of MPTMS / DMDCS.

#### COMPARATIVE EXAMPLE 4

10           The procedure of Examples 10-13 was followed except that only mercaptopropyltrimethoxysilane was added to result in the approximate weight percent of MPTMS per silica on a dry basis listed in Table 2, and enough concentrated sulfuric acid  
15 was added to result in a pH of about 0.0.

#### COMPARATIVE EXAMPLE 5

          The procedure of Comparative Example 4 was followed except that only dimethyldichlorosilane was added to result in  
20 the approximate weight percent of DCDMS per silica on a dry basis listed in Table 2, enough concentrated sulfuric acid was added to result in a pH of about 0.4.

#### EXAMPLE 15

25           The surface area of the treated and untreated test silica samples of Examples 1-14 and Comparative Examples (CE) 1-5 was determined using a Horiba 6200 series instrument by a dynamic single point surface area technique, ASTM D3037-93, Procedure C (modified). This procedure simulates the  
30 Brunauer-Emmett-Teller (BET) method at  $P/P_0 = 0.294$  using 30% nitrogen-in-helium as the adsorbate gas. The ASTM procedure was modified as follows: a 30% nitrogen-in-helium gas mixture was used; a flow of approximately 40 mL/min was maintained;



samples were dried in the analysis cells under a flow of nitrogen at  $180 \pm 5^\circ\text{C}$  for one hour; and the adsorbed nitrogen on the sample was desorbed by removing the dewar of liquid nitrogen and allowing the sample to warm to room temperature with no external heat source. Results for the untreated test silica samples are listed in Table 1 and for the treated test silica samples are listed in Table 3.

The percent carbon was determined by CHN analysis using a Carlo Erba model 1106 elemental analyzer. A 1 - 2 mg sample in a sealed tin capsule was burned in an oxygen enriched atmosphere at  $1040^\circ\text{C}$  with a Helium carrier, quantitatively combusted over  $\text{Cr}_2\text{O}_3$ , then the combustion gases were passed over Cu at  $650^\circ\text{C}$ , to eliminate the excess oxygen and reduce the oxides of nitrogen to nitrogen. The gases were then passed through a chromatographic column, separated and eluted as  $\text{N}_2$ ,  $\text{CO}_2$ , and  $\text{H}_2\text{O}$ . The eluted gases were measured by a thermal conductivity detector. The instrument was calibrated by combustion of standard compounds. Results are listed in Table 3.

The percent mercapto (SH) listed in Table 3 was determined by accurately weighing 2-3 grams of the treated silica to the nearest 0.001g in an Erlenmeyer flask, adding 75ml of isopropyl alcohol, flushing with nitrogen, sealing with a wet stopper and magnetically stirring for 30 minutes. The stirred solution was titrated quickly with standard 0.01N Iodine solution, commercially available from LabChem Inc., to a slight yellow endpoint. A blank titration was also done by following the same procedure except without adding the treated silica. If blocked mercaptosilane was used to modify the filler, it will be necessary to deblock the blocked mercaptosilane before titrating. The following equation was used to obtain the final value.

$$\% \text{ SH} = (\text{V}_1 - \text{V}_2) \times \text{N} \times 3.3 / \text{W}$$

where: V1 is the volume of iodine solution used with  
the sample  
V2 is the volume of iodine solution used in the  
blank  
5 N is the normality of the iodine solution  
W is the weight of the silica in grams

The Silane Conversion Index reported as SCI in  
Table 3 was determined by solid state  $^{29}\text{Si}$  NMR. This data was  
10 collected at ambient temperature on a Bruker AM-300 NMR with a  
narrow bore magnet and a Doty 7 mm standard speed MAS probe.  
Samples were packed into 7 mm o.d. zirconia rotors and sealed  
with short Kel-F caps. The rotors were spun at the Magic  
Angle with a speed of about 5.0 kHz. Cross Polarization  
15 (CP/MAS) data was collected using a  $90^\circ$   $^1\text{H}$  pulse, 5600 - 8400  
scans per spectrum, a 5 msecond contact time, high power  
proton decoupling during data acquisition, and a 3 second  
relaxation delay. Hartmann-Hahn conditions were achieved  
using a kaolinite sample (J. Rocha and J. Klinowski, *J. Magn.*  
20 *Reson.*, 90, 567 (1990)). All chemical shifts were referenced  
externally to tetramethylsilane (TMS).

All spectra were analyzed using a nonlinear curve  
fitting program (LINESIM) on an Aspect 3000 computer to  
determine the relative area % for the  $\text{T}^1$  (-49 ppm),  $\text{T}^2$  (-57  
25 ppm), and  $\text{T}^3$  (-65 ppm) peaks. Area % values for  $\text{T}^1$ ,  $\text{T}^2$ , and  $\text{T}^3$   
were determined by curve fitting over the region of -30 ppm  
to -80 ppm.

pH determinations were made on the treated silicas  
of the Examples and Comparative Examples by the following  
30 procedure: add 5.0 g of silica (in powder form) to a 150 mL  
beaker containing a magnetic stir bar; add 50 mL of  
isopropanol and 50 mL of deionized water; and stir vigorously  
without splashing until the silica is suspended. Place a

calibrated pH electrode in the vigorously stirring solution and record the pH reading after one minute ( $\pm$  5 sec). The results are listed in Table 3.

The Standard Reinforcement Index reported in Table 3 was determined by dividing the Tensile Stress at 300% elongation by the Tensile Stress at 100% elongation. The values for the Tensile Stress at 300% and 100% elongations are included in Table 4.

The Soxhlet Extractable percent carbon of the treated silicas of Examples 1, 2 and 7 was determined by adding approximately 5 grams of each material to 43 mm x 123 mm (internal diameter x external length) cellulose extraction thimbles which was placed into an appropriately sized Soxhlet extraction tube which was fitted with a condenser. This Soxhlet extractor and condenser system was attached to a round bottom flask containing 700 mL of toluene. The flask heated to the reflux temperature of the toluene. After refluxing for a minimum of 19 hours (typically 19 to 26 hours), the used toluene was replaced with unused toluene and refluxing was continued for a minimum of 19 hours (typically 19 to 24 hours). The resulting extracted treated silicas were recovered and dried until a sample showed less than a 1.2 weight percent loss when exposed to 160°C for 10 minutes. The percent carbon of each extracted sample was determined using the procedure described herein. The Soxhlet extractable percent carbon was determined using the following equation:

$$\frac{(\% \text{ carbon before extraction}) - (\% \text{ carbon after extraction})}{(\% \text{ carbon before extraction})} \times 100$$

The results are listed in Table 5.

Table 1

Untreated Silica Used in Examples	Surface Area M <sup>2</sup> /g
1-7 & CE1-3	191
8 & 9	193
10	172
11	187
12	182
13	214
14	188
CE4 & 5	199

Table 2

<u>Example #</u>	<u>%MPTMS/SiO<sub>2</sub></u>	<u>% DMDCS/SiO<sub>2</sub></u>	<u>Ratio MPTMS/DMDCS</u>
1	2.5	15.0	0.17:1
2	2.5	27.1	0.09:1
3	1.0	22.0	0.05:1
4	5.0	15.0	0.33:1
5	2.5	15.0	0.17:1
6	4.0	22.2	0.18:1
7	2.5	15.0	0.17:1
8	5.2	15.3	0.34:1
9	2.6	15.3	0.17:1
10	4.0	22.2	0.18:1
11	4.0	10.0	0.40:1
12	6.0	15.0	0.40:1
13	4.0	22.2	0.18:1
14	4.0	20.0	0.20:1

Table 2 (cont.)

<u>Example #</u>	<u>%MPTMS/SiO<sub>2</sub></u>	<u>% DMDCS/SiO<sub>2</sub></u>	<u>Ratio MPTMS/DMDCS</u>
CE1	0.0	15.0	0:15
CE2	1.0	12.0	0.08:1
CE3	0.5	15.0	0.03:1
CE4	4.0	0.0	4:0
CE5	0	22.0	0:22
Ciptane®1 <sup>(12)</sup>	3.0	0.0	3:0

- (12) A synthetic precipitated silica, which is reported to be precoated with 3 weight percent of gamma-mercaptopropyltrimethoxysilane and which is available from PPG Industries, Inc

Table 3

<u>Example #</u>	<u>Surface Area (m<sup>2</sup>/g)</u>	<u>Carbon (wt. %)</u>	<u>SH (wt. %)</u>	<u>SCI**</u>	<u>SRI***</u>	<u>pH</u>
1	132	2.2	0.32	0.55	4.8	6.0
2	112	3.1	0.30	0.56	4.5	6.7
3	119	2.5	0.16	0.62	4.2	6.8
4	128	2.3	ND*	0.56	4.9	7.3
5	128	2.0	0.30	0.51	4.6	6.5
6	113	3.0	0.65	ND*	5.3	6.6
7	126	2.2	0.43	0.52	4.3	7.1
8	103	2.8	0.63	ND*	5.1	8.8
9	108	2.7	ND*	ND*	5.1	10.1
10	118	3.3	0.38	ND*	4.6	6.9
11	146	2.0	0.53	ND*	4.3	6.3
12	123	3.0	0.58	ND*	4.3	6.7
13	115	3.9	0.41	ND*	4.0	6.8
14	139	1.5	0.24	0.45	4.1	6.6
CE 1	137	1.5	<0.001	ND*	2.7	6.5
CE 2	149	1.4	0.12	ND*	3.6	6.1

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Table 3 (cont.)

<u>Example #</u>	<u>Surface Area</u> <u>(m<sup>2</sup>/g)</u>	<u>Carbon</u> <u>(wt. %)</u>	<u>SH</u> <u>(wt. %)</u>	<u>SCI**</u>	<u>SRI***</u>	<u>pH</u>
CE 3	139	1.6	0.1	ND*	3.5	5.8
CE 4	194	0.6	0.34	ND*	2.9	6.7
CE 5	134	2.6	<0.001	ND*	2.6	6.6
Ciptane® 1	132	0.5	0.4	0.27	3.2	7.0

ND\* indicates that the test was not done.

SCI\*\* represents the Silane Conversion Index.

SRI\*\*\* represents the Standard Reinforcement Index.

5

Table 4

<u>Example #</u>	<u>Tensile Stress at</u> <u>300% Modulus</u>	<u>Tensile Stress at</u> <u>100% Modulus</u>
1	8.9	1.8
2	8.2	1.8
3	7.0	1.7
4	10.8	2.2
5	8.7	1.9
6	12.0	2.3
7	7.8	1.8
8	11.1	2.2
9	9.9	1.9
10	9.3	2.0
11	8.0	1.9
12	10.2	2.4
13	6.2	1.6
14	7.4	1.8
CE1	2.8	1.0
CE2	6.1	1.7
CE3	5.5	1.6
CE4	5.5	1.9
CE5	3.8	1.5
Ciptane®1	4.8	1.5

Table 5

<u>Example #</u>	<u>% Carbon Before</u>	<u>% Carbon After</u>	<u>% Carbon Extracted</u>
1	2.18	1.81	16.97
2	3.11	2.54	18.33
7	2.20	2.16	1.82

5 The results of Table 1 show that the surface areas of the untreated silica samples used in the process of making the Examples and Comparative Examples ranged from 172 to 214 m<sup>2</sup>/g.

10 The MPTMS/DMDCS ratios listed in Table 2 for Examples 1-14 ranged from 0.05:1 to 0.40:1. The ratios for Comparative Examples 2 and 3, which contained both silanes, were 0.08:1 and 0.03:1 respectively. Although the MPTMS/DMDCS ratio of Comparative Example (CE)2 was within the desired range of 0.05:1 to 10:1, the results for Mercapto(SH) weight percent, listed in Table 3 for the treated silica of CE-2 were 15 less than the required amount of greater than 0.15 weight percent.

20 The results of Table 3 show that the modified silica samples of the present invention, i.e., Examples 1-14, demonstrated a Standard Reinforcement Index of at least 4.0, a carbon weight percent of greater than 1.0, a mercapto weight percent greater than 0.15 and a Silane Conversion Index, i.e.,  $T^3/(T^1 + T^2 + T^3)$ , of at least 0.3. The Comparative Examples had a carbon weight percent and/or a mercapto weight percent below that of the Examples 1-14 and had a Standard 25 Reinforcement Index of less than 4.0, e.g., 3.6.

The results of Table 4 showed that all of the modified silicas of the present invention, i.e. Examples 1-14, demonstrated a Tensile Stress at 300% elongation of 6.2 or

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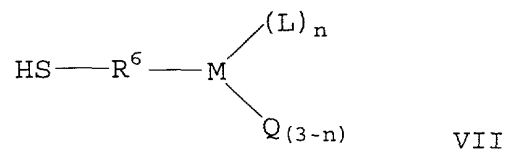




We claim:

1. In the process of producing a chemically  
5 treated filler by contacting an acidic aqueous suspension of  
an amorphous or particulate inorganic oxide selected from  
precipitated silica, colloidal silica or mixtures thereof with  
a coupling agent to form an acidic aqueous suspension of  
chemically treated filler, optionally in the presence of a  
10 surfactant and/or a water miscible solvent, and recovering  
said filler, the improvement comprises using as said coupling  
agent a combination of (a) mercaptoorganometallic compound and  
(b) non-sulfur organometallic compound(s) in a weight ratio of  
(a) to (b) of at least 0.05:1 in an aqueous suspension of  
15 inorganic oxide having a pH of 2.5 or less, and treating the  
acidic aqueous suspension of the chemically treated filler  
with acid neutralizing agent(s) to increase the pH of said  
suspension to a range of from 3.0 to 10.

20 2. The process of claim 1 wherein the  
mercaptoorganometallic material is represented by the  
following graphic formula VII:



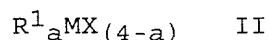
25 wherein M is silicon, L is halogen or  $-\text{OR}^7$ , Q is hydrogen,  $\text{C}_1$ - $\text{C}_{12}$  alkyl, or halosubstituted  $\text{C}_1$ - $\text{C}_{12}$  alkyl,  $\text{R}^6$  is  $\text{C}_1$ - $\text{C}_{12}$  alkylene,  
 $\text{R}^7$  is  $\text{C}_1$ - $\text{C}_{12}$  alkyl or alkoxyalkyl containing from 2 to 12 carbon  
atoms, said halogen or (halo) groups being chloro, bromo, iodo  
30 or fluoro, and n is 1, 2 or 3.

3. The process of claim 2 wherein L is  $-OR^7$ ,  $R^6$  is  $C_1-C_3$  alkylene,  $R^7$  is  $C_1-C_4$  alkyl and n is 3.

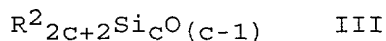
5 4. The process of claim 2 wherein the mercapto group of the mercaptoorganometallic material is blocked.

5. The process of claim 1 wherein the mercaptoorganometallic material is selected from  
10 mercaptomethyltrimethoxysilane, mercaptoethyltrimethoxysilane, mercaptopropyltrimethoxysilane, mercaptomethyltriethoxysilane, mercaptoethyltripropoxysilane, mercaptopropyltriethoxysilane, (mercaptomethyl)dimethylethoxysilane, (mercaptomethyl)methyldiethoxysilane, 3-mercaptopropyl-  
15 methyldimethoxysilane and mixtures thereof.

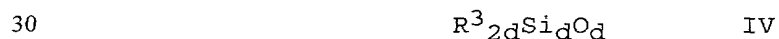
6. The process of claim 1 wherein the non-sulfur organometallic compound(s) is selected from the group consisting of organometallic compound(s) represented by  
20 formula II:



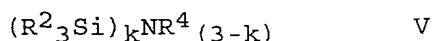
organometallic compound(s) represented by formula III:  
25



organometallic compound(s) represented by the formula IV:



organometallic compound(s) represented by formula V:



and a mixture of said organometallic compounds; wherein each M is independently silicon, titanium or zirconium; each R<sup>1</sup> is  
5 independently a hydrocarbon group of from 1 to 18 carbon atoms or R<sup>1</sup> is an organofunctional hydrocarbon group of from 1 to 12 carbon atoms wherein, said functionality is amino, carboxylic acid, carbinol ester, or amido; each X is independently  
10 selected from the group consisting of halogen, amino, alkoxy groups of from 1 to 12 carbon atoms and acyloxy groups of from 1 to 12 carbon atoms, a is the integer 1, 2 or 3; each R<sup>2</sup> is independently halo, hydroxy, or a hydrocarbon group containing from 1 to 18 carbon atoms with the proviso that at least 50  
15 mole percent of the R<sup>2</sup> substituents are hydrocarbon groups containing from 1 to 18 carbon atoms, c is an integer from 2 to 10,000; each R<sup>3</sup> is independently halo, hydroxy, or a hydrocarbon group containing from 1 to 18 carbon atoms and d is an integer from 3 to 20; each R<sup>4</sup> is independently hydrogen or a hydrocarbon group containing from 1 to 18 carbon atoms  
20 and k is 1 or 2; and said halo or halogen is selected from chloro, fluoro, bromo or iodo.

7. The process of claim 1 wherein the non-sulfur organometallic compound(s) is selected from the  
25 group consisting of diethyldichlorosilane, allylmethyldichlorosilane, methylphenyldichlorosilane, phenylethyldiethoxysilane, 3,3,3-trifluoropropylmethyldichlorosilane, trimethylbutoxysilane, sym-diphenyltetramethyldisiloxane, trivinyltrimethyl-  
30 cyclotrisiloxane, octamethylcyclotetrasiloxane, hexaethyldisiloxane, pentylmethyldichlorosilane, divinyldipropoxysilane, vinyldimethylchlorosilane, vinylmethyldichlorosilane, vinyldimethyldiethoxysilane,

5 hexenyldimethylchlorosilane, dimethylchlorosilane,  
dimethyldichlorosilane, dimethyldimethoxysilane,  
dimethyldiethoxysilane, hexamethyldisilazane,  
trivinyltrimethylcyclotrisilazine, polydimethylcyclosiloxanes  
comprising 3 to about 20 dimethylsiloxo units,  
10 tetra(C<sub>1</sub>-C<sub>18</sub>)alkoxy titanates, methyl triethoxy titanium (iv),  
methyl titanium (iv) triisopropoxide, methyl titanium (iv)  
tributoxide, methyl titanium (iv) tri-t-butoxide, isopropyl  
titanium (iv) tributoxide, butyl titanium (iv) triethoxide,  
butyl titanium (iv) tributoxide, phenyl titanium (iv)  
15 triisopropoxide, phenyl titanium (iv) tributoxide, phenyl  
titanium (iv) triisobutoxide, [Ti(CH<sub>2</sub>Ph)<sub>3</sub>(NC<sub>5</sub>H<sub>10</sub>)]  
[Ti(CH<sub>2</sub>SiMe<sub>3</sub>)<sub>2</sub>(NEt<sub>2</sub>)<sub>2</sub>], tetra(C<sub>1</sub>-C<sub>18</sub>)alkoxy zirconates, phenyl  
zirconium (iv) trichloride, methyl zirconium (iv) trichloride,  
ethyl zirconium (iv) trichloride, propyl zirconium (iv)  
20 trichloride, methyl zirconium (iv) tribromide, ethyl zirconium  
(iv) tribromide, propyl zirconium (iv) tribromide,  
chlorotripentyl zirconium (iv) and mixtures of such  
organometallic compounds.

30 9. The process of claim 6 wherein the non-sulfur organometallic compound(s) is represented by formula II wherein R<sup>1</sup> is C<sub>1</sub>-C<sub>6</sub> alkyl, X is chloro, a is 2 and the inorganic oxide is precipitated silica.

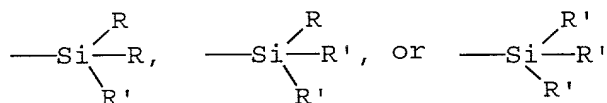
5            11.    The process of claim 9 wherein the weight  
ratio of (a) to (b) is from 0.2:1 to 2:1.

15

13. The process of claim 12 wherein the weight ratio of mercaptoorganometallic material to bis(alkoxysilylalkyl)polysulfide is from 5:1 to 50:1 and the inorganic oxide is precipitated silica.

25                          Z-alk-S<sub>n</sub>-alk-Z,        VII

in which alk is a divalent hydrocarbon radical having from 1 to 18 carbon atoms; n' is a whole number of 2 to 12 and Z is:



wherein R is an C<sub>1</sub>-C<sub>4</sub> alkyl or phenyl group, and R' is an C<sub>1</sub>-C<sub>8</sub> alkoxy, a C<sub>5</sub>-C<sub>8</sub> cycloalkoxy, or a C<sub>1</sub>-C<sub>8</sub> alkylmercapto group.

15. The process of claim 12 wherein
- 5 bis(alkoxysilylalkyl)polysulfide is selected from the group consisting of 3,3'-bis(trimethoxysilylpropyl)disulfide, 3,3'-bis(triethoxysilylpropyl)tetrasulfide, 3,3'-bis(trimethoxysilylpropyl)tetrasulfide, 2,2'-bis(triethoxysilylethyl)tetrasulfide,
- 10 3,3'-bis(trimethoxysilylpropyl)trisulfide, 3,3'-bis(triethoxysilylpropyl)trisulfide, 3,3'-bis(tributoxysilylpropyl)disulfide, 3,3'-bis(trimethoxysilylpropyl)hexasulfide, 3,3'-bis(trioctoxysilylpropyl)tetrasulfide and mixtures
- 15 thereof.

16. A product of the process of claim 1.

17. A product of the process of claim 4.

18. A product of the process of claim 12.

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